

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 July 2002 (11.07.2002)

PCT

(10) International Publication Number  
**WO 02/053049 A2**

(51) International Patent Classification<sup>7</sup>: **A61B 18/14**

(21) International Application Number: PCT/US01/48233

(22) International Filing Date:  
14 December 2001 (14.12.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
09/752,265 29 December 2000 (29.12.2000) US

(71) Applicant: **SENORX, INC.** [US/US]; Suite A, 11 Columbia, Aliso Viejo, CA 92656 (US).

(72) Inventors: **QUICK, Richard, L.**; 22970 Bouquet Canyon, Mission Viejo, CA 92692 (US). **LUBOCK, Paul**; 30 Bethany, Laguna Niguel, CA 92677 (US). **KUSSMAN, Daniel, P.**; 5 Rosy Finch Lane, Aliso Viejo, CA 92656 (US). **SHABAZ, Martin, V.**; 25421 Old Trabuco Road, Lake Forest, CA 92630 (US).

(74) Agent: **LYNCH, Edward, J.**; Coudert Brothers LLP, 600 Beach Street, 3rd Floor, San Francisco, CA 94109 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

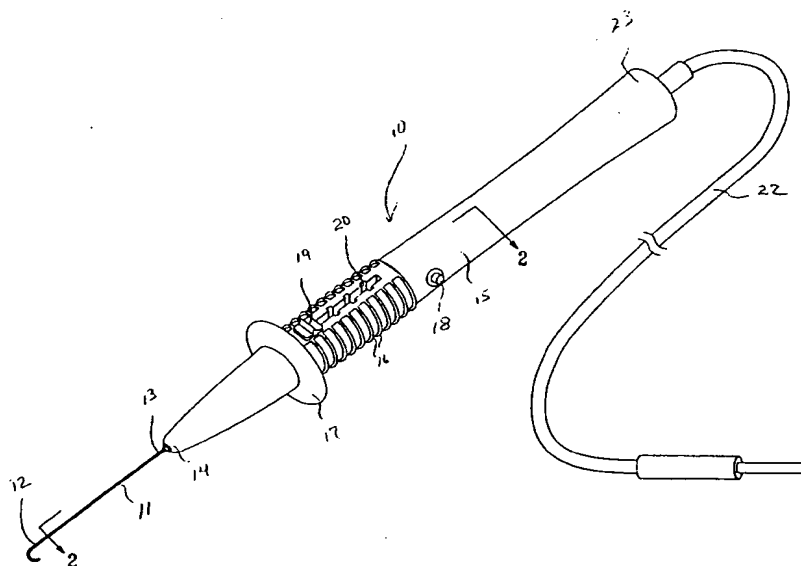
(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

**Published:**

— without international search report and to be republished upon receipt of that report

[Continued on next page]

(54) Title: **SHAPEABLE ELECTROSURGICAL SCALPEL**



(57) Abstract: The invention is directed to an electrosurgical device having a shapeable elongate cutting electrode having a free distal end with an exposed length of at least 0.5 inch and secured by its proximal end to the distal end of a handle. The electrosurgical device is designed for use with a high frequency electrosurgical generator which as an output at a frequency of between about 1MHz and about 10 MHz, preferably about 3 to about 8 MHz. Preferably, the output has an essentially sinusoidal waveform with little harmonic distortion. The methods provide for the enhanced cutting of a variety of tissue including muscular, connective, glandular and fatty tissue. The device is particularly suitable in performing a breast biopsy.

WO 02/053049 A2

WO 02/053049 A2



*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## SHAPEABLE ELECTROSURGICAL SCALPEL

### BACKGROUND OF THE INVENTION

Surgical lesion removal has traditionally been performed using a variety of surgical tools and techniques, some of which are specially adapted for a particular procedure. For example, large lesion removal from, e.g., the human breast, is typically attempted through an open incision using an ordinary surgical knife or scalpel. While the use of scalpels is widely accepted, they are not designed to minimize the invasiveness of a surgical procedure. During a surgical procedure, it is usually necessary to form an incision which is much larger than the lesion which is targeted for removal, so that the surgeon can work around, under, and over the lesion to remove both the entire lesion and a margin of tissue surrounding the lesion. The removal of a margin of tissue around the lesion is typically indicated, to be more certain that all of the lesion has been removed by the surgical procedure.

While the practice of removing tissue adjacent to a tissue mass of interest, e.g., a malignant or benign lesion, is followed in many lumpectomy procedures, the tools provided for a surgeon to remove the tissue are not well suited for performing the procedure. Straight and sculpted blade scalpels do not assist the surgeon in making the

smallest cut necessary, and often require the surgeon to essentially dig out the tissue mass. The damage to the remaining tissues can be significant, resulting in considerable postoperative pain, excessive bleeding, long recovery times, the potential for infection, the potential  
5 for depression of the tissues at the surgical site (poor cosmesis) due to the removal of excessive tissue, and surface tissue scarring which is much larger than necessary. Furthermore, use of these conventional tools and techniques may cause excessive damage to the removed tissue and thus create a tissue specimen having ragged  
10 and irregular margins or borders. This, in turn can lead to inaccurate pathology studies of the excised tissue. There are some practitioners who believe that a significant number of negative pathology reports (i.e. reports which indicate that the specimen margins are clear of malignant tissue) are false negatives that will most likely result in  
15 recurrence of cancer in the patient. It is felt that a surgical device that results in smooth uniform margins would result in far more accurate pathology reports, particularly with patients who have or who are thought to have breast cancer. Patient management based on these more accurate reports would in turn lead to lower recurrence in breast  
20 cancer patients.

Breast cancer is presently the most common cancer in women and is the second leading cause of cancer deaths in women. With approximately one in eight American women developing breast cancer sometime in her lifetime, it is apparent that improved methods of diagnosis, such as breast biopsy are needed.

Electrosurgical devices have previously been used for tissue cutting, and surgical procedures. However, such devices typically use small, often pointed active cutting surfaces and the types of devices available to the surgeon who uses electrosurgery are limited.

Furthermore, breast tissue and various other tissues are heterogeneous tissues and contain a variety of tissue types such as connective tissue, glandular tissue, vascular tissue, and adipose (fatty) tissue. Connective glandular and vascular tissues have similar characteristics in the way they react to high frequency electrical energy and hence the electrosurgical device. However, adipose (fatty) tissue presents a higher impedance to the flow of electrical current than do the other types of tissues, and presents more difficulty in cutting. Thus, during an electrosurgical procedure if fatty tissue is encountered, a surgeon must perform surgical cuts by "feathering", making repetitive shallow cuts over the same area to attain a desired depth of cut. These repetitive shallow cuts expose the patient to an

increased risk of receiving an unnecessary amount of electrical energy, greater injury to surrounding tissues, greater risk of infection, as well as potentially creating ragged or irregular margins in biopsied tissues.

5           From the discussion above, it should be apparent that there is need in the art for more effective surgical cutting devices which lead to less trauma to the surrounding and biopsied tissues and which can provide biopsy specimens having smooth regular margins. Furthermore there is a need in the art for additional types of tools  
10       used in electrosurgery which give the operator greater control over the types and configurations of cuts made in tissue during a surgical procedure. The present invention fulfills these needs.

### SUMMARY OF THE INVENTION

15       The invention is directed generally toward an electrosurgical device for cutting tissue, and the method of use, which is particularly suitable for cutting heterogeneous tissue such as found in breast tissue.

20       The electrosurgical device embodying features of the invention has a handle and an elongated cutting electrode which is secured to the handle and which is configured to be electrically connected to a high frequency power source. The cutting electrode is an elongated

conductive member with a free distal end and is preferably manually shapeable. The cutting electrode has an exposed cutting length of at least 0.5 inch (1.3 cm), and may extend up to 4 inches (10.2 cm). Preferably, the cutting electrode can have an exposed length ranging from about 0.8 inch to about 2.3 inches (2-7 cm). In other embodiments, the cutting electrode can have an exposed length in the range of about 1.2 inches to about 2.5 inches (3-6.4 cm). The elongated cutting electrode may be provided with an exterior insulating jacket which is slidable along the cutting electrode to allow the operator to adjust the length of the cutting electrode which is exposed.

The cutting electrode has a maximum transverse cross-sectional dimension of about 0.007 to about 0.03 inch (0.18-0.76 mm), preferably about 0.008 to about 0.02 inch (0.2-0.5 mm).

Elongated cutting electrodes having transverse dimensions of this magnitude may cut large areas of tissue, particularly adipose tissue, with a very effective "clean sweeping motion" with very little pressure against the tissue, thereby creating less trauma to the surgical site and providing for smoother margins of excised tissues. For increased electrode flexibility, the distal section of the cutting electrode may be distally tapered to smaller transverse dimensions. For example, the

distal section may taper from a transverse dimension of about 0.01 to about 0.02 inch (0.25-0.51 mm) at the proximal section of the electrode to a smaller transverse dimension of about 0.004 to about 0.01 inch (0.1-25,4 mm) at a distal end of the tapered distal length.

5           A cutting electrode embodying features of the invention is formed of a conductive material and is preferably formed of a high strength metallic material such as tungsten, and alloys thereof and particularly tungsten alloys containing about 3 to about 25% (wt%) rhenium. The tungsten containing cutting electrodes are very suitable  
10 with high frequency electrical power. In alternative embodiments which operate at lower frequencies (e.g. less than about 2 megahertz) the electrode may be made of stainless steel and other metallic compositions.

          The electrosurgical devices are preferably part of an  
15 electrosurgical system which includes a high frequency (e.g. RF) electrosurgery generator that is electrically coupled to the electrosurgical device. The high frequency generator is preferably configured to produce electrical power in a frequency range of about 1 to about 10 megahertz, particularly a frequency range of about 3 to  
20 about 8 megahertz with a current output of up to 4 amps. The voltage capacity is about 150 Vrms to about 800 Vrms to facilitate a wide



variety of procedures, including coagulation at the lower voltages (e.g. about 150 to about 300 Vrms) and heterogeneous tissue cutting at the higher voltages (e.g. about 400 to about 800 Vrms). When cutting through heterogeneous tissue the voltage is controlled to a first range of about 550 to about 650 Vrms, typically about 600 Vrms during the initiating of the cut and then controlled at a lower level between about 450 and about 550 Vrms, typically about 480-500 Vrms. Amperage also may vary between initiation, e.g. about 1.75 amps, and normal running, e.g. about 1 amp.

The duty factor and the voltage generally should be higher at the initiation of the cut and less during the running period. For example, the duty factor may range from about 2 to about 10% up to 100% at a frequency of about 10 Hz up to the output frequency; however, generally the duty factor frequency is above 30 kHz with 50 kHz being typical.

The high frequency output of the electrical power generator has a periodic output and preferably has an essentially sinusoidal waveform and most preferably with a total harmonic distortion of less than about 5%. To complete the electrical circuit at least one additional electrode is needed to be in contact with the patient for a monopolar electrical configuration or on the electrosurgical cutting

device for a bipolar mode. In one version of the invention the system has an electrode pad which is secured to the patient's exterior close to the electrosurgical site to complete the electrical circuit.

5 The power cable directing high frequency electrical power from the electrical generator should be shielded cable and be flexible enough so that it does not interfere with the physician's (or other operator's) handling of the electrosurgical device during the procedure. One cable construction which has been found to be very suitable has a central metallic conductor disposed within an outer  
10 jacket with a space between the central conductor and the inner surface of the jacket in order to reduce cable capacitance. The jacket has an outer polymer layer, an inner polymer layer and a shielding layer such as metallic braid, spiral wrap or foil disposed between the inner and outer layers. The inner polymer layer is essentially non-  
15 conductive. The central conductor is not supported within the jacket, it is essentially free floating, so it will contact the inner surface of the jacket at multiple locations when the cable is bent during use. However, the capacitance of the cable remains relatively constant because the off-center conductor averages to be the same as an on  
20 center conductor.

The invention may also be directed toward a method of performing tissue excision wherein an electrosurgical device is provided having a shapeable elongated electrode with a proximal end that is electrically connected to a high frequency power source and a distal end have a length of exposed cutting surface. The device preferably has a handle configured to hold the electrode and preferably have a mechanism to extend a desired length of exposed electrode out the distal end of the handle for a particular use. The elongated cutting electrode may be preshaped to a desired configuration in its manufacturing process or it may be manually shaped by the physician or other operator just prior to or during the procedure. The cutting electrode is placed in contact with the tissue to be excised and the electrosurgical device is then energized by providing RF power to the cutting electrode from a high frequency power generator. The cutting electrode will readily and smoothly pass through a variety of tissue types including muscular, connective, glandular and fatty tissue. The electrosurgical device may also be energized by the high frequency power generator with wave forms suitable for coagulation of bleeding vessels and tissue. A finger actuated switch on the handle or a dual foot switch situated on the

floor allow the user to choose the cutting or the coagulation modes.  
Other modes may also be provided for other procedures.

These and other advantages of the invention will become more  
apparent from the following detailed description thereof and  
5 accompanying exemplary drawings.

### BRIEF DESCRIPTION OF THE DRAWINGS

FIG. 1. is a perspective view of an electrosurgical cutting  
device which embodies features of the invention.

FIG. 2. is an elevational view, partially in section, of the  
10 electrosurgical device illustrated in FIG. 1.

FIG. 3 is an elevational view of the electrosurgical electrode  
shown in the device of Fig. 2.

FIG. 4 is an enlarged plan view, partially in section, of the  
upper portion of the handle illustrated in FIG. 2 taken along the lines  
15 4-4.

FIG. 5 is a transverse cross sectional view of the  
electrosurgical device illustrated in FIG. 2 taken along lines 5-5.

FIG. 6 is a transverse cross sectional view of the  
electrosurgical device of FIG. 2 taken along lines 6-6.

20 FIG. 7 is a transverse cross sectional view of the  
electrosurgical device illustrated in FIG. 2 taken along the lines 7-7.

FIG. 8. is a longitudinal cross-sectional view taken of the area 8 shown in Fig. 2.

FIG. 9 is a schematic illustration of a female patient and an electrosurgical system embodying features of the invention for performing a breast biopsy.

FIG. 10 is an illustration of a preformed cutting electrode having a "J" shape.

FIG. 11 is an illustration of a preformed cutting electrode having an alternative arcuate shape.

FIG. 12 is an illustration of a preformed cutting electrode having another alternative arcuate shape.

FIG. 13 is a cut away perspective view of a flexible shielded cable embodying features of the invention.

FIG. 14 is a transverse cross sectional view of the shielded cable illustrated in FIG. 13 taken along lines 14-14.

FIG. 15 is an elevational view of an alternative bipolar electrosurgical device embodying features of the present invention.

FIG. 16. is an enlarged elevational view, partially in section, of the distal end of the electrosurgical device illustrated in FIG. 15.

FIG 17 is a transverse cross sectional view of the electrosurgical device shown in FIG. 16 taken along line 17-17.

FIG. 18 is an enlarged cutaway view of the distal extremity of an alternative bipolar electrosurgical device similar to the device shown in FIGS 15-17 but with parallel, side-by side electrodes.

FIG. 19 is a transverse cross sectional view of the electrosurgical device illustrated in FIG. 18 taken along lines 19-19.

### DETAILED DESCRIPTION OF THE INVENTION

FIGS. 1 - 8 depict an electrosurgical cutting device embodying various features of the invention which generally has a cutting electrode 11 with a free or exposed distal portion 12 and a proximal portion 13 which is secured within the distal end 14 of handle 15. The exterior of handle 15 is provided with ridges 16 configured for gripping by the physician or other operator to allow control of the device during operation and a radially extending flange 17 to protect the hand of the operator during operation of the electrosurgical device. The handle 15 is provided with a button type switch 18 for switching an RF electrode power source (not shown) to an active or "on" position or to an inactive or "off" position. A switching function may also be provided for alternative modes such as for coagulation. As best shown in Figs. 2 and 4, the handle 15 may be provided with a thumb slide 19 to allow axial translation of an electrode assembly within the handle with detents 20 being provided

to lock the thumb slide at various positions along a length of the handle. This allows the length of exposed electrode 11 which extends out the distal end 14 of the handle 15 to be adjusted to a desired length. A flexible cable 22 is provided for electrically  
5 coupling the cutting electrode 11 of the electrosurgical device 10 to an energizing source (not shown). The cable 22 enters the proximal end 23 of the handle 15 through an appropriate opening provided in the proximal end of the handle.

Details of the interior of the handle 15 are illustrated in Figs 2  
10 and 5-8. The cable 22 extends through the interior of handle 15. The outer layer 24 and the shielding layer 25 of the cable 22 are secured to the ring or proximal eyelet 26 connected to the thumb slide 19 through lever 27 as shown in Fig. 7. The inner layer 28 and the inner electrical conductor 29 extend through an inner lumen (not shown) in  
15 the proximal eyelet 26 into the distal portion of the handle 15. As shown in more detail in Figs. 6 and 8, the inner electrical conductor 29 extends beyond the distal end 30 of the inner layer 28 into the proximal end of metallic connector 31. The proximal end 32 of the cutting electrode 11 extends into the distal end of the metallic  
20 connector 31 which brings the cutting electrode 11 into an end to end electrically conducting relationship with the inner electrical conductor

29 of cable 22 as shown or in an overlapping or other configuration if desired. The metallic connector 31 is crimped onto the ends of the conductor 29 and the electrode 11 and is preferably formed of conducting material such as brass to facilitate passage of the high frequency electrical current from the inner conductor 29 to the proximal end of the cutting electrode 11.

The flexible cable 22 is shown in more detail in FIGS. 9 and 10. The inner conductor 29 of cable 22 is typically made of solid copper wire about 0.0126 to about 0.037 inch (0.32-0.94 mm) in diameter, preferably about 0.0159 to about 0.021 inch (0.4-0.53 mm). Typically 26 AWG copper wire is utilized. The inner conductor 29 may be plated with silver or gold to provide more surface conductivity to accommodate the skin effect of RF currents when such currents propagate in a conductor. Alternatively, a layer of flexible polymeric material such as a cross linked modified polyester, an amide-imide copolymer or a polyurethane may circumferentially coat a solid inner conductor 29. A stranded inner conductor may be covered with a thin wall insulation material that is not affected by the sterilization method. The inner surface of the inner layer 28 defines an air gap or space between the inner layer and the inner conductor 29. The inner conductor 29 is unsupported or minimally supported along the length



of the cable 22 which allows the inner conductor 29 to move more freely or "float" within the cable 22 to provide greater flexibility to the cable and lower power losses through reduced cable capacitance.

Typically, the air gap diameter is about 0.08 to about 0.14 inch (2-3.6 mm), preferably about 0.1 to about 0.11 inch (2.5-2.8 mm). The inner and outer polymeric layers are conventional silicone or polyethylene layers about 0.03 to about 0.045 inch (0.76-1.14 mm) in thickness.

The shielding layer 25 is preferably a multistranded braid or spiral wrap of conductive material such as copper. The strands of the braid

or wrap may be about 0.15 to about 0.230 inch (3.8-5.8 mm) in diameter. The construction of the cable 22 is controlled to provide a radius of curvature of about 2 to about 5 inches (5-12.7 cm), preferably about 3 to about 4 inches (7.6-10.2 mm) without kinking. In

an alternate embodiment the cable shielding layer 25 may be formed of an electrically conductive foil. The shielding layer 25 is typically

grounded to reduces exposure of the operator of the electrosurgical device, as well as the patient and others, to RF radiation. The cable 22 is generally less than about 12 feet, preferably less than about 10

feet in length. Cables having lengths greater than 12 feet will usually have too high an impedance for effective tissue cutting through a variety of tissues, particularly fatty tissue.

As shown in Fig. 7 the outer layer 24 and shielding layer 25 are secured at the proximal end of proximal eyelet 26. The outer layer 24 circumferentially surrounds the shielding layer 25. The shielding braided layer 25 circumferentially surrounds the proximal eyelet and is typically joined to the proximal eyelet by soldering in which the solder is impregnated within the shielded layer. Alternatively, the shielding layer 25 may be joined to the proximal eyelet 26 by means of a crimp sleeve (not shown). The proximal eyelet 26 is generally made of brass but may alternatively be composed of other electrically conductive materials such as copper. The proximal eyelet 26 contains an aperture through which the inner layer 28 and inner conductor 29 pass through to the distal portions of the handle 15.

The proximal eyelet 26 is typically cylindrical and may be about 0.1 to about 0.5 inch (2.5-13 mm) in length and is generally made of brass although it may alternatively be made of other electrically conductive materials. In some embodiments with fixed exposed electrode lengths, the proximal eyelet 26 may be captured within the handle member cavity to secure an electrode assembly with respect to the handle 15.

Referring to Figs. 2 and 6, an insulating ceramic hub 33 is disposed at the distal portion of the handle 15 and typically has a

nosecone 34 which generally protrudes from the distal end 14 of the handle 15. The proximal portion 13 of the cutting electrode 11 extends through a passageway of ceramic hub 33 which is configured to allow slidable longitudinal movement of the proximal electrode portion 13 through the inner lumen to adjust the exposed length of electrode 11 which extends out the distal end of the handle 15. The ceramic hub 33 can be made of insulating material such as a mica glass material, e.g. Mycalex ® which is available from Mykroy/Mycalex Ceramics of Clifton, New Jersey. Other insulating materials may also be utilized to form the hub 33. The ceramic hub 33 prevents heating and melting of the handle and allows for tracking of the electrosurgical device during the performance of tissue excision. In alternate embodiments with fixed exposed electrode lengths, the hub 33 may be molded about the proximal portion 13 of the electrode 11 into a fixed position with the electrode.

As shown in Fig. 3, the cutting electrode 11 may have a proximal portion 13 of uniform transverse dimensions, a distal portion 12 having uniform transverse dimensions smaller than those of the proximal portion and an intermediate portion 35 which tapers from the transverse dimensions of the proximal portion to the smaller transverse dimensions of the distal portion 12. The electrode 11 is

made of tungsten and preferably an alloy of tungsten containing from 3 to about 25% (by wt) rhenium and typically about 5% rhenium. In alternate embodiments the electrode may be made of high temperature stainless steel or other suitable alloy compositions. The tapered intermediate portion 35 and the distal portion 12 of the electrode 11 will form most, if not all of the exposed length of the electrode 11 which may range from about 0.5 inch up to about 4 inches (1.3-10 cm), and may range typically from about 1 inch to about 2.5 inches (2.5-5.4 cm). The transverse dimensions of the tungsten or tungsten alloy electrode is preferably formed by centerless grinding tungsten wire to achieve the desired dimensions which is a conventional technique. The lengths of the tapered and the distal portions of the electrode 11 will be selected to provide a desired stiffness to the exposed of the electrode for the particular procedure to be performed. Typically, the distal portion is about 0.5 inch (1.3 cm), the intermediate tapered portion about 1.25 inch (3.2 cm) and the proximal portion about 0.5 inch (1.3 cm).

The handle member 15 is typically made of a dielectric material such as ABS plastic and is generally tubular in shape with rounded edges but it may be formed of other suitable polymeric

materials. The connector eyelet 31 is typically made of brass but can be made of any suitable conductive material.

FIG. 11. illustrates the electrosurgical system for the performance of breast biopsy. The electrosurgical device 10 having the features and embodiments discussed above is energized by a high frequency RF generator 40 by means of a remote switch 41. Typically, a transformer box 44 is connected to the patient by a waist strap 43. The electrosurgical device 10 is connected to the transformer box 44 which is connected to the radio frequency generator 40. An additional cable 45 connected to a transformer box 44 extends to an alternate or extra ground electrode or pad 46 secured to the patient with an electrically conductive gel at the interface to complete the electrical circuit from the active electrode and thus prevent shock or injury to the patient. In alternate embodiments the ground electrode may be placed on other regions such as the patient's back, or abdominal region. In another embodiment a cable in electrical connection with the on/off button may extend from the external aspect of the handle to the transformer box which is in electrical connection to the RF generator thus allowing for the operational switching of the RF generator with the press of a button by the operator of the device. Alternate embodiments may

involve controlling the energizing source with the use of a remote switch such as a foot pedal or a voice activated controller. Switching elements (not shown) may also be provided for other operating modes such as for coagulation. The details of the RF generator are set forth in concurrently filed application of the present assignee entitled High Frequency Power Source which is incorporated herein in its entirety.

FIGS. 12, 13 and 14 illustrate examples of various shapes that can be provided for in the various embodiments of the invention having pre-shaped configurations of the electrode. FIG. 12 shows an electrosurgical preshaped electrode having a "J" shape configuration. FIGS. 13 and 14 show electrosurgical preshaped electrodes having alternative arcuate configurations. In addition to the preshaped embodiments described above. Other useful shapes can be provided.

Figs. 15 - 17 illustrate a bipolar electrosurgical device embodying features of the invention. In this embodiment the electrosurgical instrument 50 has an electrode assembly 51 with a manually shapeable elongated primary electrode 52 surrounded circumferentially by a return electrode conductor 53. The proximal end of the electrode assembly 51 is secured to a handle 54 similar to

handle 15 of the prior embodiment. The primary electrode 52 is electrically connected by a specialized cable/electrical conductor 55 (such as the cable 22 described above) which enters the proximal end of the handle 54 through an opening (not shown) in the proximal end of the handle and extends longitudinally to end in electrical connection with the primary electrode as indicated by the phantom lines. The return conductive electrode 53 is electrically connected by a separate cable 56 which enters the proximal portion of the handle member at the proximal end and travels longitudinally through the handle member cavity to end in electrical communication with the primary electrode as indicated by the phantom lines. The primary electrode is preferably formed of tungsten or alloys thereof as discussed above. The return conductive electrode 53 is generally a hollow cylindrical tube which circumferentially surrounds a portion of the proximal end of the primary electrode and is typically made of stainless steel but may alternatively be made of such material as tungsten or tungsten alloys as discussed above. An insulating material 57 is disposed between the primary and return electrodes 52 and 53 respectively. The active electrode 52 generally extends beyond the distal end of the return electrode 53 and has an exposed length which is sufficient for the procedures to be conducted.

Figs. 18 and 19 illustrate an alternative embodiment of a bipolar electrosurgical device 60 having a primary electrode 61 and a return electrode 62 with proximal and distal ends and a handle 63 similar to those previously described herein. Both the primary and return electrodes are preferably made of tungsten or alloys thereof but may be alternatively comprised of high temperature stainless steel or other compositions. The primary and return electrodes typically have an exposed length in the range such as 0.25 to about 2.5 inches (0.63-6.35 mm). The primary electrode 61 and the return electrode 62 extend generally parallel with respect to each other and are joined or stabilized in their parallel positions by one or more insulating ceramic stand-offs 64 which lie perpendicular between the longitudinal axis of the electrodes. The proximal ends of the primary and return electrodes 61 and 62 are attached to an insulating ceramic hub 65 which contains a lumen for receiving the proximal ends of the electrodes. The primary and the return electrodes 61 and 62 end in electrical communication with electrical conductors 66 and 67 respectively. The electrical conductors are configured for electrical connection to an RF generator (not shown).

The bipolar electrosurgical devices can be employed in a system such as that shown in FIG. 11 and the method of using the



bipolar devices for performing a tissue excision is essentially the same as with a monopolar device as previously described.

The foregoing description details certain embodiments of the invention. Various modifications to the invention may be made without departing from its spirit or essential characteristics. The described embodiments are to be considered in all respects only as illustrative and not restrictive to the scope of the invention. Moreover, those skilled in the art will recognize that feature found in one embodiment may be utilized in another embodiment. Terms such as elements, members, devices and words of similar import when used herein shall not be construed as invoking the provisions of 35 U.S.C. §112(6) unless the following claims expressly use the term "means" or "step" followed by a particular function.

**WHAT IS CLAIMED IS:**

1. An electrosurgical cutting device (10) comprising:  
a handle (15) which has a proximal portion (23), a distal  
portion (14) and an inner lumen; and  
5 an elongated cutting electrode (11), comprised of a  
conductive metallic material, which has a proximal portion (13)  
slidably secured to the distal end (14) of the handle (15), said  
proximal portion (13) of the cutting electrode (11) being slidably  
disposed within said inner lumen of the handle (15), a free distal  
10 portion (12), and an exposed active surface over a length of at least  
0.5 inch.
2. The electrosurgical cutting device (10) of claim 1 wherein  
the cutting electrode (11) has an exposed active surface over a length  
15 of about 0.75 inches to about 4 inches.
3. The electrosurgical cutting device (10) of claim 2 wherein  
the cutting electrode (11) has an exposed active surface over a length  
of about 1.2 inches to about 2.5 inches.

4. The electrosurgical cutting device (10) of claim 1 wherein the cutting electrode (11) has a transverse dimension over a substantial length thereof from about 0.007 to about 0.03 inch.

5. The electrosurgical cutting device (10) of claim 1 wherein  
5 the cutting electrode (11) has a transverse dimension over a substantial length thereof from about 0.01 to about 0.02 inch.

6. The electrosurgical cutting device (10) of claim 1 wherein a distal portion (12) of the cutting electrode (11) tapers distally to a reduced transverse dimension.

10 7. The electrosurgical cutting device (10) of claim 6 wherein the cutting electrode (11) tapers from a transverse dimension at least 0.012 inch in a proximal portion (13) thereof to a transverse dimension of less than about 0.012 inch in a distal portion (12) of the electrode (11).

15 8. The device of claim 6 wherein the cutting electrode (11) tapers distally from a transverse dimension between about 0.012 to about 0.018 inch at a proximal portion (13) of the cutting electrode (11) to a transverse dimension of about 0.004 to about 0.01 inch at the distal end of the cutting electrode (11).

9. The electrosurgical cutting device (10) of claim 1 wherein the conductive metallic material is selected from the group consisting of tungsten, steel, and alloys and combinations thereof.

10. The electrosurgical cutting device (10) of claim 9 wherein  
5 the metallic material is a tungsten alloy containing rhenium.

11. The electrosurgical cutting device (10) of claim 10 wherein the tungsten alloy contains from about 3% to about 25% rhenium.

12. The electrosurgical cutting device (10) of claim 9 wherein  
10 the metallic material is a stainless steel.

13. The electrosurgical cutting device (10) of claim 1 wherein the handle (15) further comprises a switch (19) configured to allow axial translation of at least a portion of the cutting electrode (11) within the inner lumen of the handle (15).

14. The electrosurgical cutting device (10) of claim 1 wherein  
15 the proximal portion (13) of the cutting electrode (11) is received and supported by a non-conductive hub (33) at the distal end of the handle (15).

15. The device of claim 14 wherein the proximal portion (13) of the elongated cutting electrode (11) is slidably secured to the hub (33).

16. The device of claim 14 wherein the hub (33) comprises  
5 mica glass.

17. An electrosurgical system comprising;

a) an electrosurgical cutting device (10) comprising:  
a handle (15) which has a proximal portion (23), a distal  
portion (14) and an inner lumen; and

10 an elongated cutting electrode (11), comprised of a  
conductive metallic material, which has a proximal portion (13)  
slidably secured to the distal end (14) of the handle (15), said  
proximal portion (13) of the cutting electrode (11) being slidably  
disposed within said inner lumen of the handle (15), a free distal  
15 portion (12), and an exposed active surface over a length of at least  
0.5 inch; and

b) a radiofrequency (RF) electrical power generator  
(40) which is configured to have a frequency output of between about  
1 to about 10 megahertz and which is electrically connected to the  
20 proximal end of the cutting electrode (11) and to a return electrode  
(46).

18. The electrosurgical system of claim 17 wherein the RF generator (40) is configured to produce an electrical output having an essentially sinusoidal waveform.

19. The electrosurgical system of claim 18 wherein the sinusoidal waveform has a total harmonic distortion less than about 5%.

20. The system as defined in claim 17, wherein the power output of the RF generator (40) has a frequency of between about 3 MHz to about 8 MHz.

21. The system as defined in claim 17 wherein the cutting electrode (11) is part of a bipolar electrode assembly comprising a primary electrode (61) and a return electrode (62).

22. The system as defined in claim 17 wherein the cutting electrode (11) is a monopolar electrode.

23. A method of cutting heterogeneous tissue including fatty tissue comprising:

a) providing an elongated cutting electrode (11) having a free distal end and an exposed length of at least 0.5 inch;

b) passing electrical current to the elongated cutting electrode (11) having a frequency of between about 1 to about 10  
5 megahertz;

c) applying at least part of the elongated cutting electrode (11) to tissue; and

d) controlling the electrical current passed to the elongated cutting electrode (11) based upon a voltage setpoint.

10 24. The method of claim 24 wherein the electrical current passed to the cutting electrode (11) is controlled based upon a first voltage setpoint at the initiation of cutting the tissue and on a second voltage setpoint after the initiation of cutting the tissue.

15 25. The method of claim 25 wherein the first voltage setpoint is between about 450 Vrms to about 550 Vrms.

26. The method of claim 26 wherein the second voltage setpoint is between about 550 Vrms to about 650 Vrms.

1/7

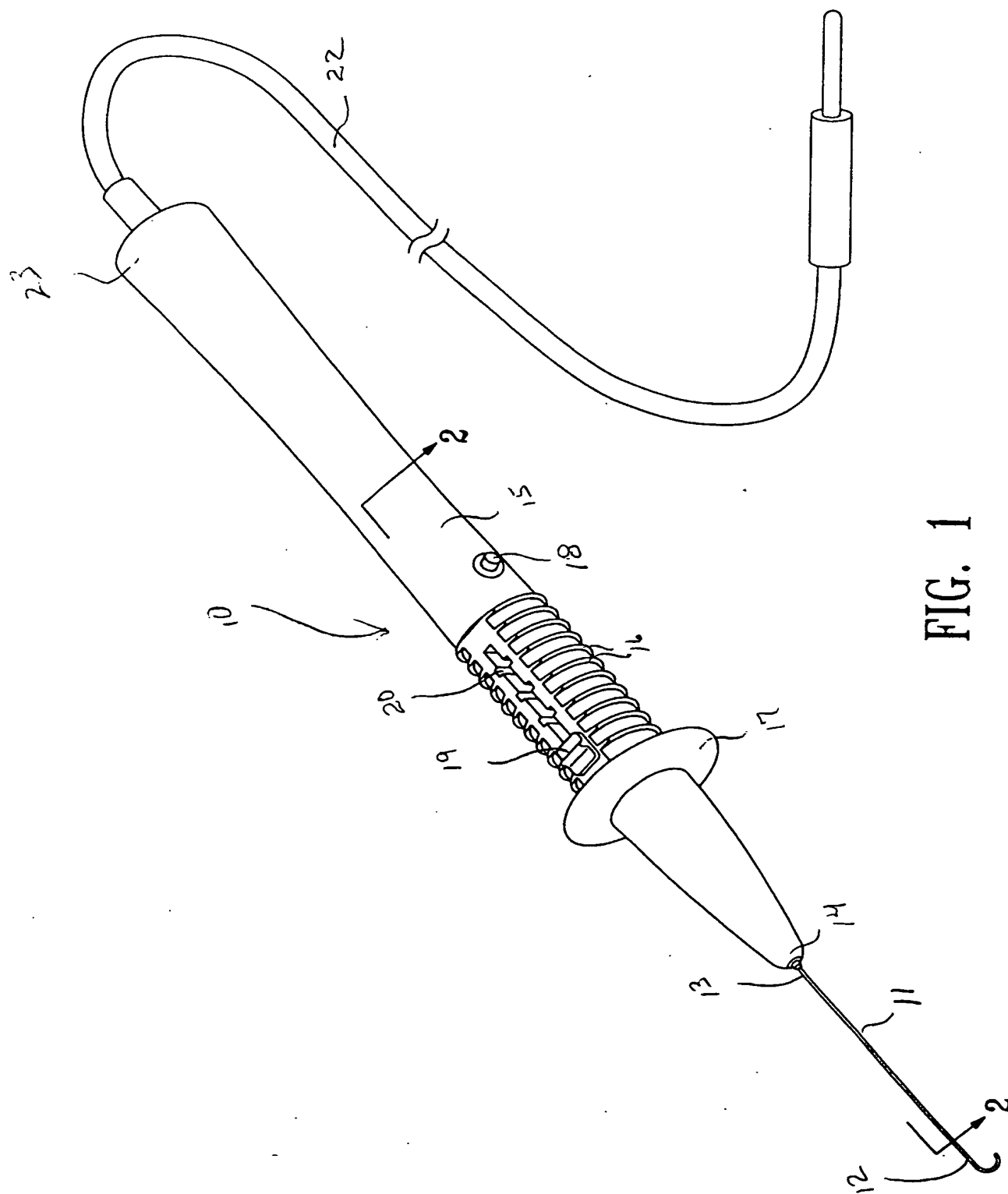


FIG. 1



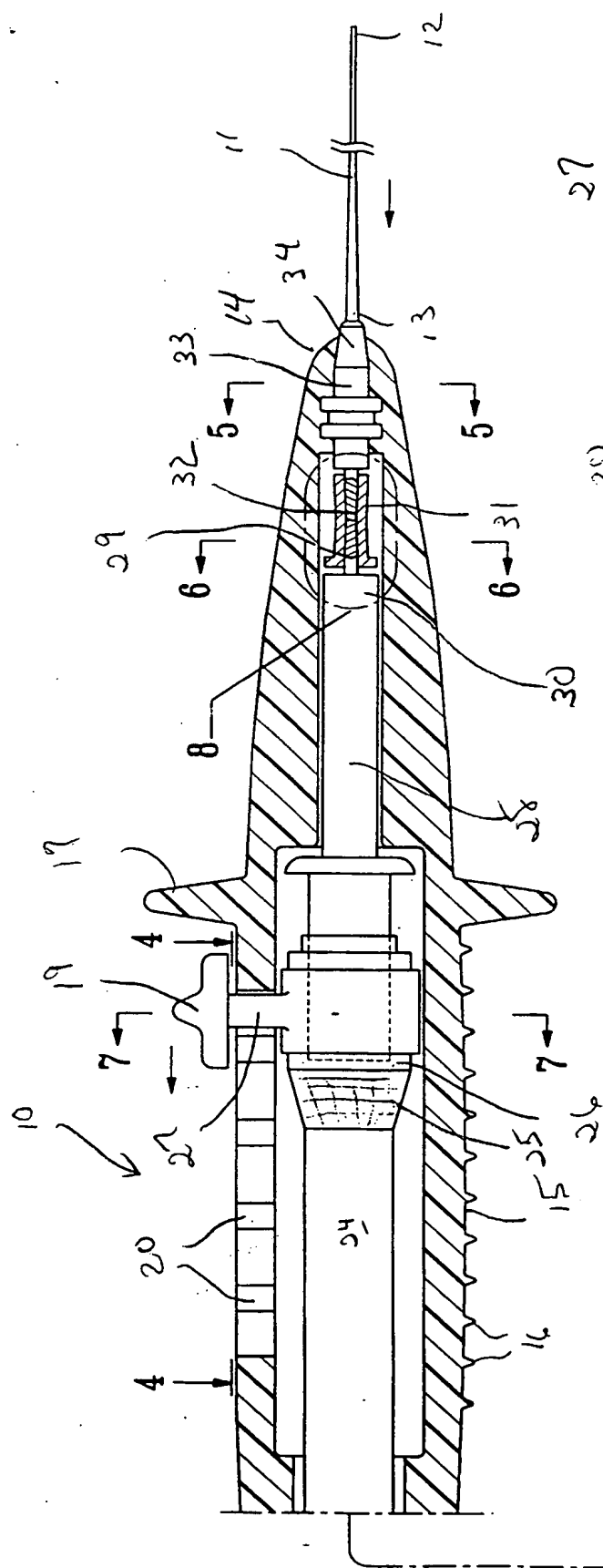


FIG. 4

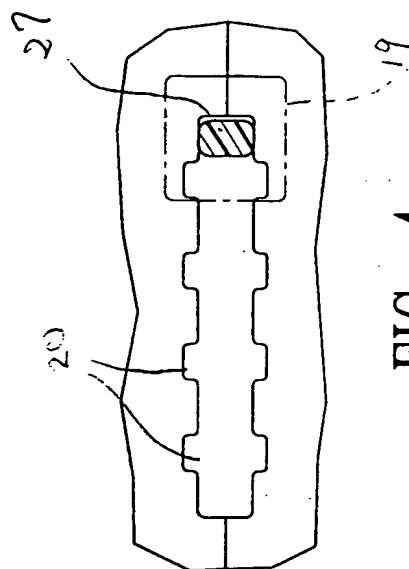


FIG. 2

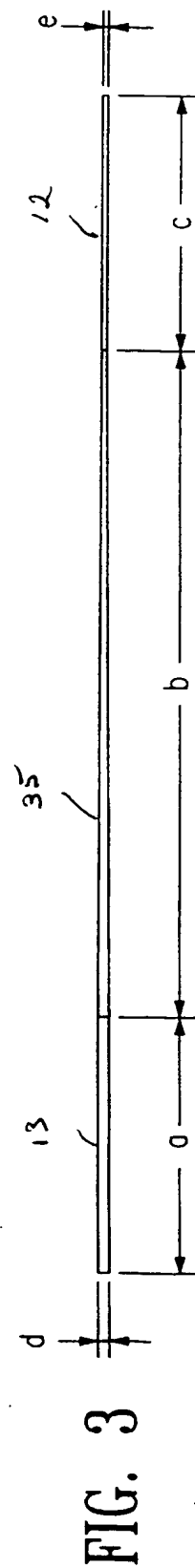
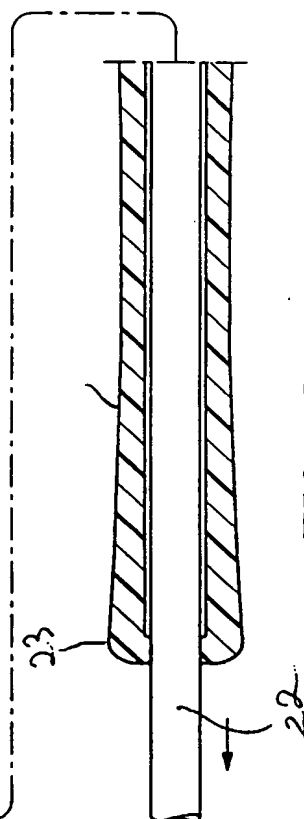


FIG. 3

3/7

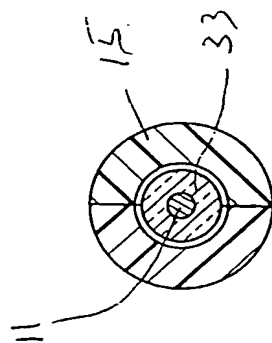


FIG. 5

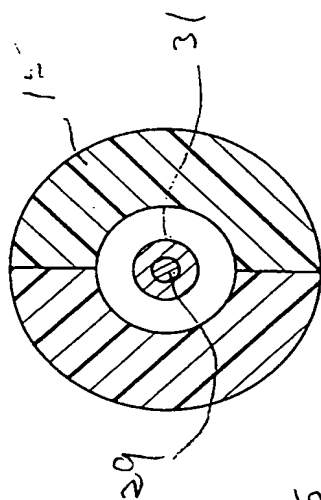


FIG. 6

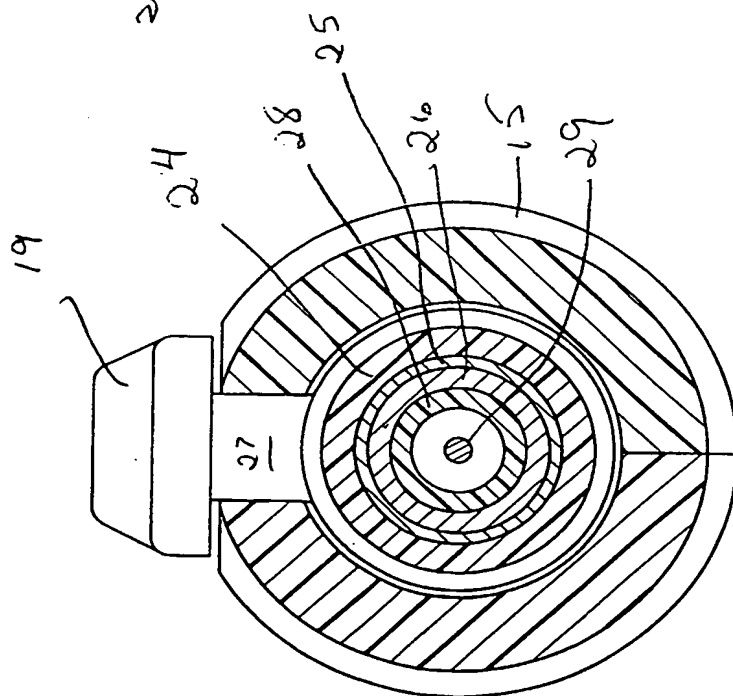


FIG. 7

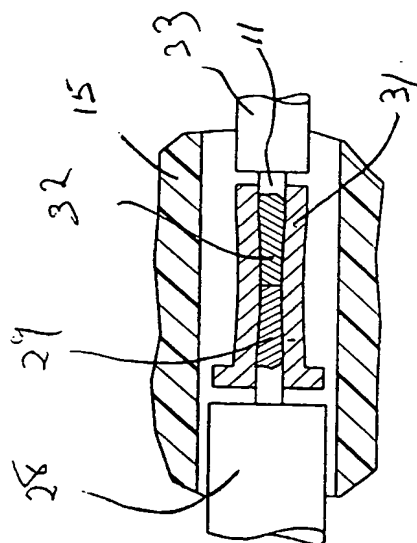


FIG. 8

4/7

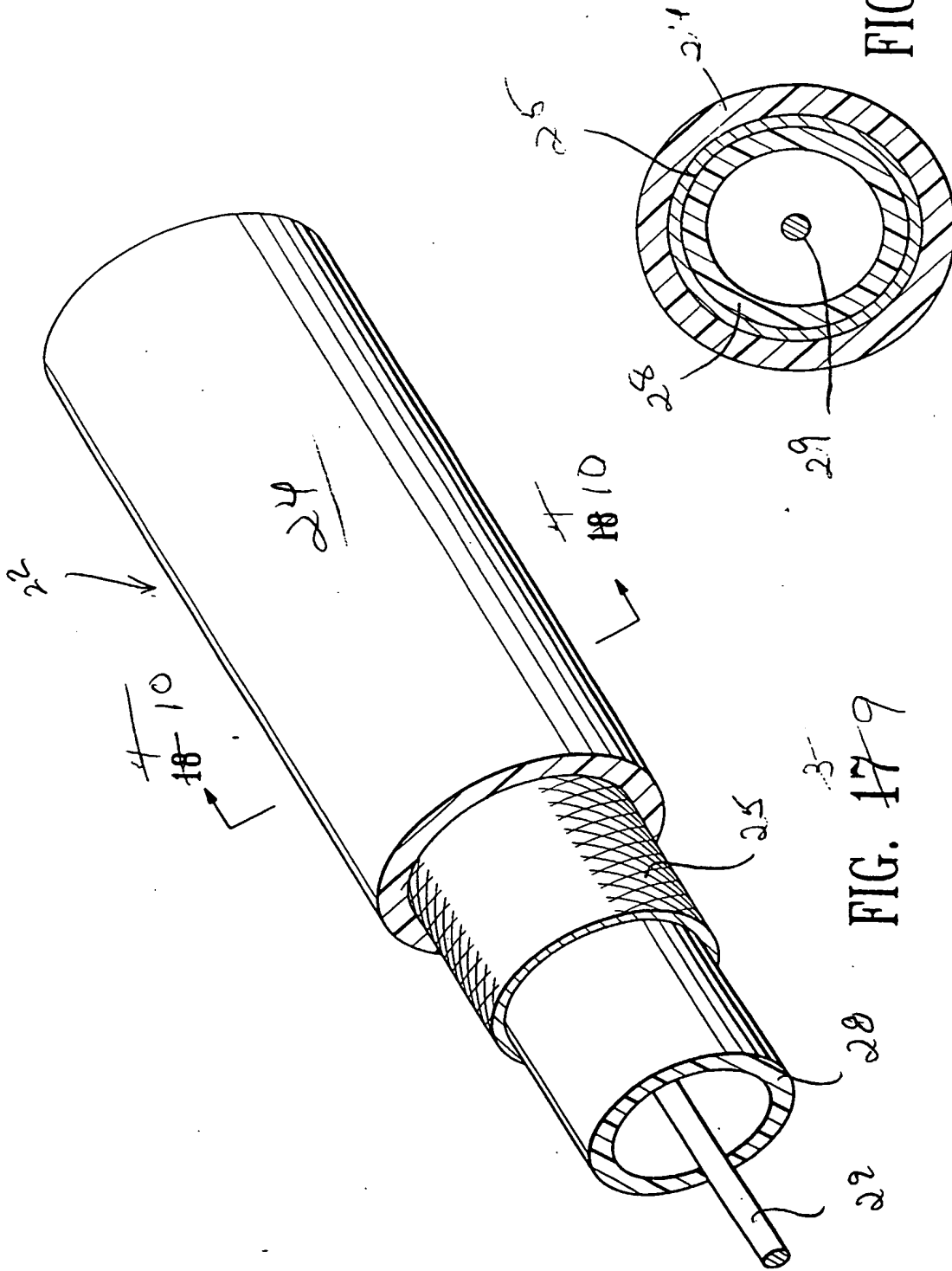


FIG. 17

FIG. 18

5/7

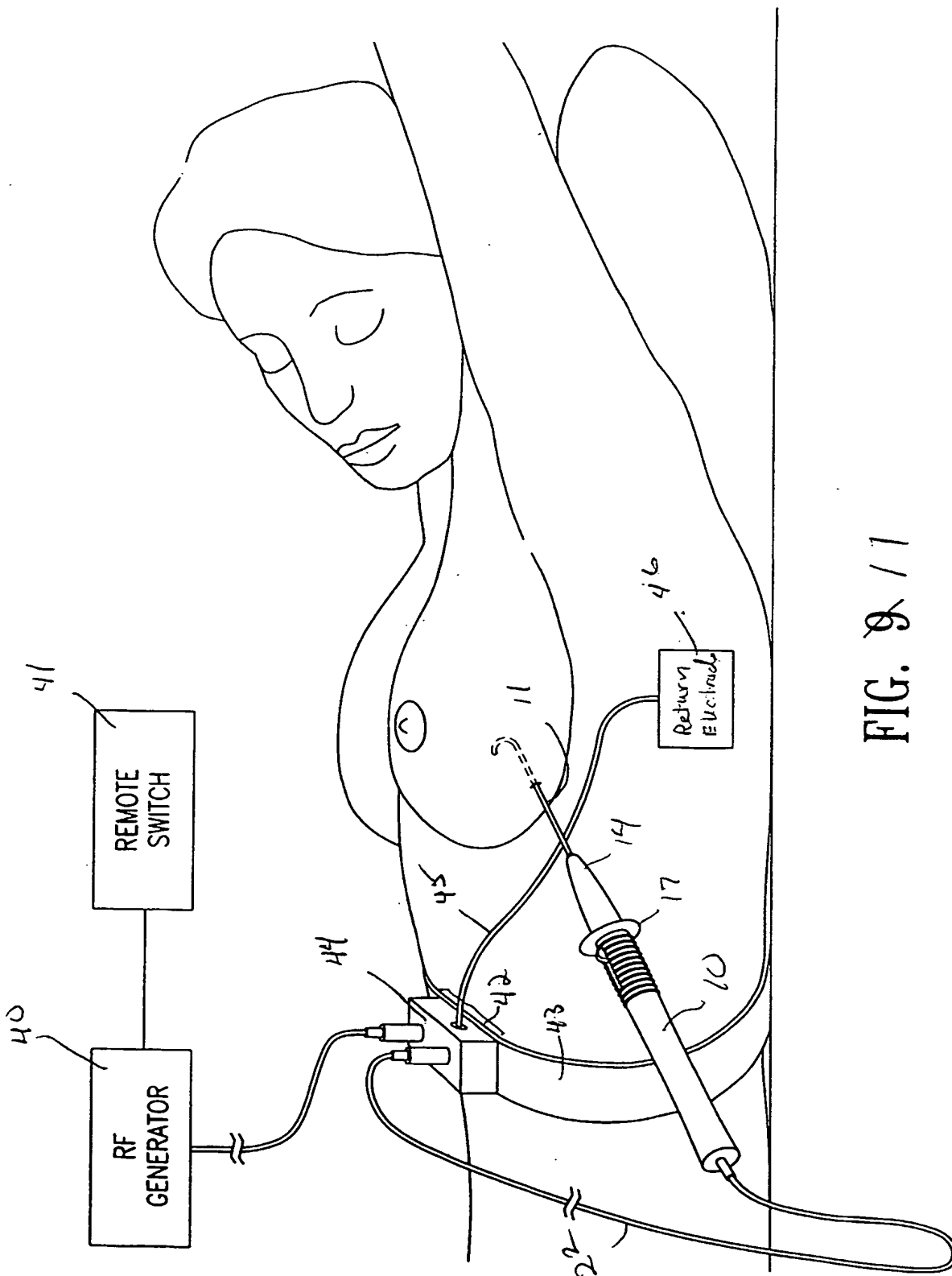


FIG. 9 / 1

6/7

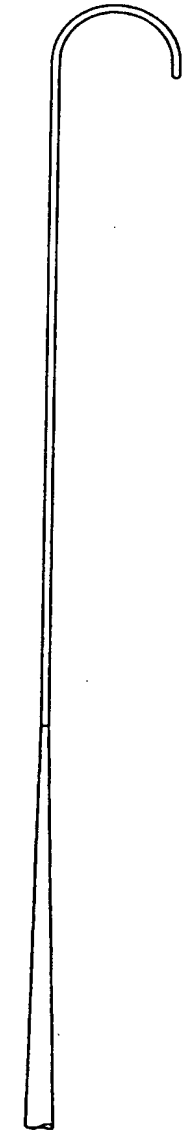


FIG. 10

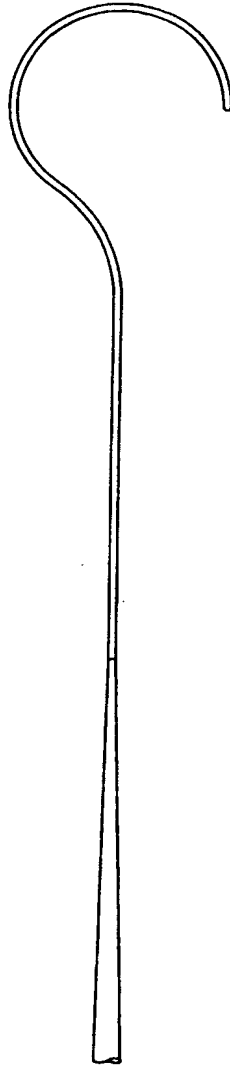


FIG. 11

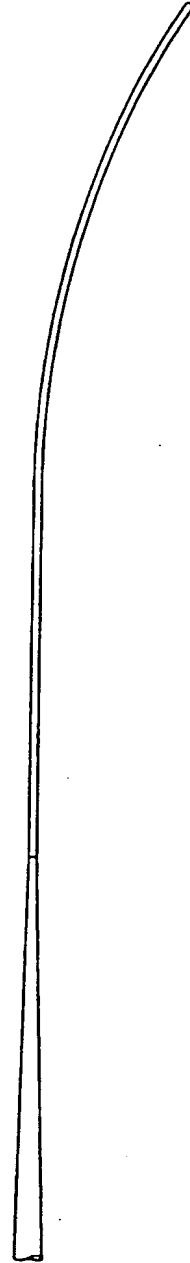
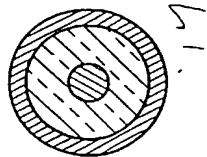
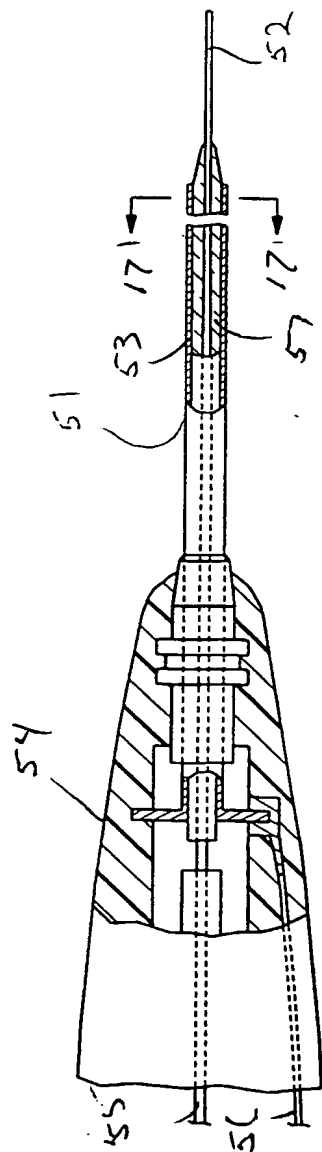
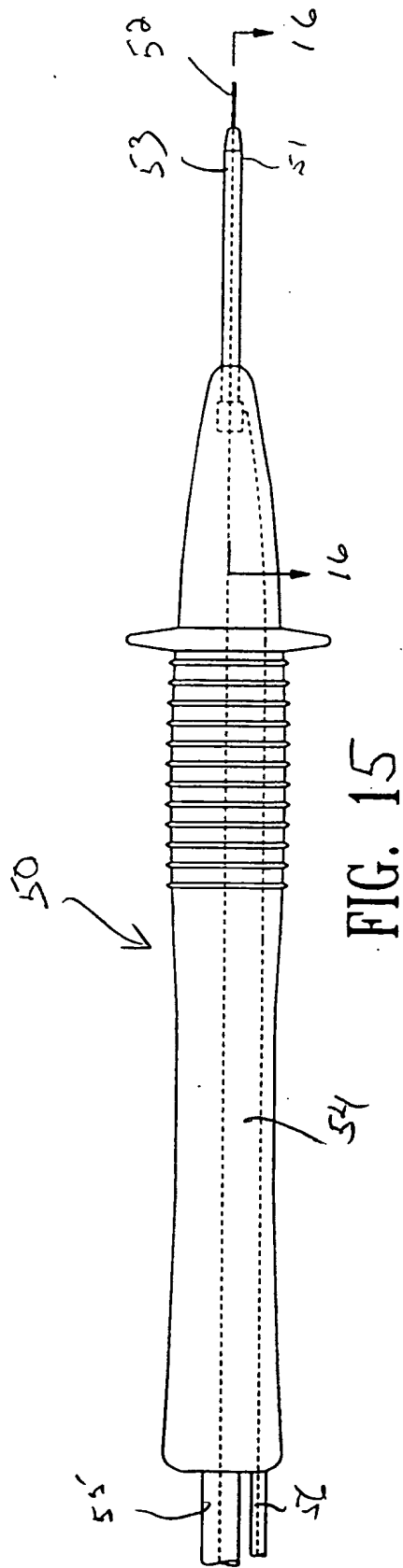


FIG. 12



7/7

FIG. 17

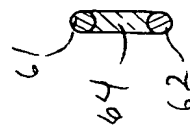
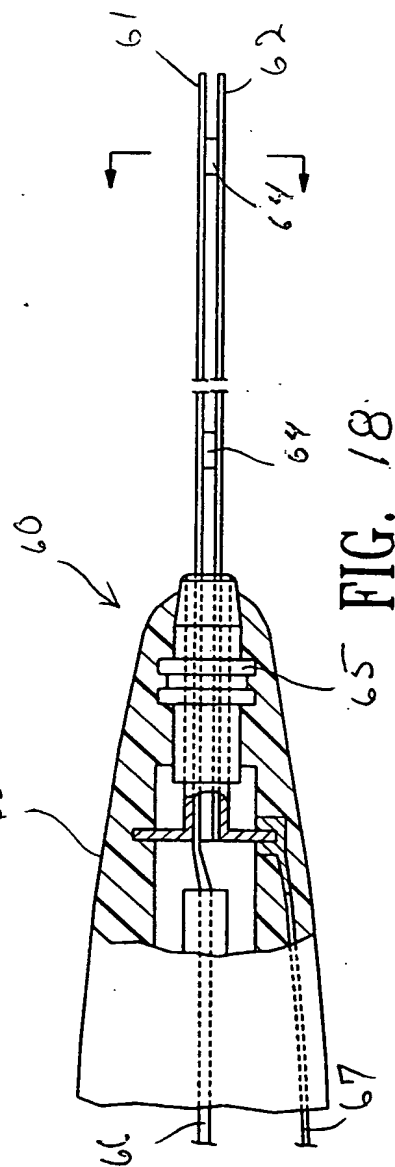


FIG. 19

(19) World Intellectual Property Organization  
International Bureau



(43) International Publication Date  
11 July 2002 (11.07.2002)

PCT

(10) International Publication Number  
**WO 02/053049 A3**

(51) International Patent Classification<sup>7</sup>: **A61B 18/14**

(74) Agent: **LYNCH, Edward, J.**; Coudert Brothers LLP, 600 Beach Street, 3rd Floor, San Francisco, CA 94109 (US).

(21) International Application Number: PCT/US01/48233

(22) International Filing Date:  
14 December 2001 (14.12.2001)

(25) Filing Language: English

(26) Publication Language: English

(30) Priority Data:  
(09/752,265 29 December 2000 (29.12.2000) US

(71) Applicant: **SENORX, INC.** [US/US]; Suite A, 11 Columbia, Aliso Viejo, CA 92656 (US).

(72) Inventors: **QUICK, Richard, L.**; 22970 Bouquet Canyon, Mission Viejo, CA 92692 (US). **LUBOCK, Paul**; 30 Bethany, Laguna Niguel, CA 92677 (US). **KUSSMAN, Daniel, P.**; 5 Rosy Finch Lane, Aliso Viejo, CA 92656 (US). **SHABAZ, Martin, V.**; 25421 Old Trabuco Road, Lake Forest, CA 92630 (US).

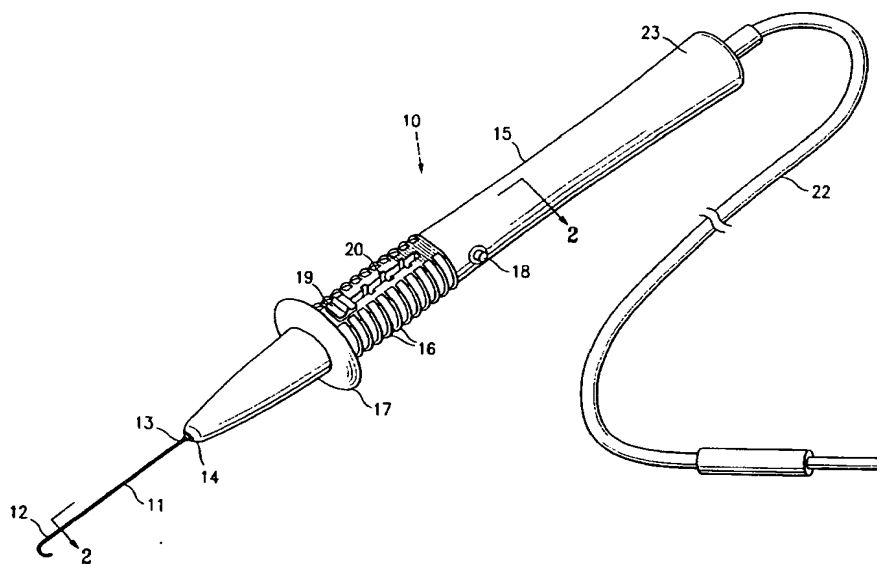
(81) Designated States (*national*): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, UZ, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:  
— with international search report

[Continued on next page]

(54) Title: SHAPEABLE ELECTROSURGICAL SCALPEL



(57) Abstract: The invention is directed to an electrosurgical device having a shapeable elongate cutting electrode having a free distal end with an exposed length of at least 0.5 inch and secured by its proximal end to the distal end of a handle. The electrosurgical device is designed for use with a high frequency electrosurgical generator which as an output at a frequency of between about 1MHz and about 10 MHz, preferably about 3 to about 8 MHz. Preferably, the output has an essentially sinusoidal waveform with little harmonic distortion. The methods provide for the enhanced cutting of a variety of tissue including muscular, connective, glandular and fatty tissue. The device is particularly suitable in performing a breast biopsy.



(88) Date of publication of the international search report:  
27 February 2003

*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

(15) Information about Correction:

**Previous Correction:**

see PCT Gazette No. 42/2002 of 17 October 2002, Section II



# INTERNATIONAL SEARCH REPORT

International Application No

PCT/01/48233

A. CLASSIFICATION OF SUBJECT MATTER  
IPC 7 A61B18/14

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)  
IPC 7 A61B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	WO 94 17856 A (LUNDQUIST INGEMAR H ;VIDAMED INC (US); LAX RONALD G (US); SHARKEY) 18 August 1994 (1994-08-18) page 10, line 23 -page 11, line 14	1,2,4-8, 13-15, 17,20-22
Y	page 32, line 29 -page 33, line 8	9-12,16
A	figures 2,5	3,18,19
X	US 3 884 237 A (HEINTZ SR RALPH M ET AL) 20 May 1975 (1975-05-20) column 2, line 37-58	1,2,17
A	column 3, line 48 -column 4, line 27 column 8, line 37-68; figure 17	3,4,7
Y	WO 99 37227 A (BOSTON SCIENT LTD) 29 July 1999 (1999-07-29) page 3, line 12-20 page 5, line 1-16; claim 20; figure 1A	9-12
	-/--	

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents:

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- \*8\* document member of the same patent family

Date of the actual completion of the international search

4 October 2002

Date of mailing of the international search report

14/10/2002

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,  
Fax: (+31-70) 340-3016

Authorized officer

Reinbold, F

## INTERNATIONAL SEARCH REPORT

International Application No

P US 01/48233

## C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US 5 088 998 A (SAKASHITA KIYOTOSHI ET AL) 18 February 1992 (1992-02-18)	16
A	column 2, line 67 -column 3, line 7	1,14,15,17
	column 4, line 43 -column 5, line 4	
	column 13, line 14-45; figures 1,2,16	
	---	
A	WO 92 20291 A (APPLIED MED RESOURCES) 26 November 1992 (1992-11-26)	1,4,5,9,14,15,17,21,22
	page 6, line 15 -page 7, line 18	
	page 8, line 32 -page 9, line 25	
	claims 1,6; figures 1,2,10-16	
	---	
A	US 5 951 551 A (ERLICH MARK A) 14 September 1999 (1999-09-14)	1,4,5,13-15,17
	column 2, line 40-65	
	column 3, line 45-47; figures 1A,2	
	-----	

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/01/48233

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
WO 9417856	A	18-08-1994	US 5370675 A	06-12-1994
			US 5421819 A	06-06-1995
			US 5435805 A	25-07-1995
			US 5409453 A	25-04-1995
			AT 132046 T	15-01-1996
			AU 671405 B2	22-08-1996
			AU 2047595 A	10-08-1995
			AU 657235 B2	02-03-1995
			AU 4999893 A	15-03-1994
			AU 685086 B2	15-01-1998
			AU 6133194 A	29-08-1994
			AU 718834 B2	20-04-2000
			AU 6189698 A	09-07-1998
			BR 9306893 A	08-12-1998
			CA 2121032 A1	03-03-1994
			CA 2155217 A1	18-08-1994
			CA 2226484 A1	03-03-1994
			CN 1119418 A	27-03-1996
			DE 4305663 A1	17-02-1994
			DE 69301143 D1	08-02-1996
			DE 69325164 D1	08-07-1999
			DE 69325164 T2	25-05-2000
			EP 0611314 A1	24-08-1994
			EP 0629382 A1	21-12-1994
			EP 0631514 A1	04-01-1995
			EP 0893101 A2	27-01-1999
			ES 2084510 T3	01-05-1996
			ES 2134295 T3	01-10-1999
			FI 950584 A	04-04-1995
			IL 104647 A	31-12-1995
			IL 108532 A	13-07-1997
			JP 7503645 T	20-04-1995
			JP 3128242 B2	29-01-2001
			JP 8506259 T	09-07-1996
			KR 273015 B1	01-12-2000
			MX 9304905 A1	29-04-1994
			NZ 255687 A	20-12-1996
			US 2001031941 A1	18-10-2001
			US 5385544 A	31-01-1995
			US 6022334 A	08-02-2000
			US 6102886 A	15-08-2000
			WO 9404220 A1	03-03-1994
			WO 9417856 A1	18-08-1994
			US 6241702 B1	05-06-2001
			US 5486161 A	23-01-1996
			US 5470308 A	28-11-1995
			US 5556377 A	17-09-1996
			US 5720718 A	24-02-1998
			US 5542915 A	06-08-1996
			US 5470309 A	28-11-1995
US 3884237	A	20-05-1975	US 3815604 A	11-06-1974
			US 3884238 A	20-05-1975
WO 9937227	A	29-07-1999	AU 2469499 A	09-08-1999
			WO 9937227 A1	29-07-1999
US 5088998	A	18-02-1992	JP 2224754 A	06-09-1990

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

P S 01/48233

Patent document cited in search report		Publication date	Patent family member(s)	Publication date
US 5088998	A		JP 2702214 B2	21-01-1998
			JP 2080024 A	20-03-1990
			DE 3917465 A1	29-03-1990
WO 9220291	A	26-11-1992	WO 9220291 A1	26-11-1992
US 5951551	A	14-09-1999	NONE	

